SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* [] Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Meng Teresa H						2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]									(Che	elationship o eck all applio K Directo	able)	g Pers	on(s) to Iss 10% O	
(Last) (First) (Middle) 3101 JAY STREET						3. Date of Earliest Transaction (Month/Day/Year) 06/16/2021										Officer below)	(give title		Other (below)	specify
(Street) SANTA CLARA CA 95054 (City) (State) (Zip)						4. If Amendment, Date of Original Filed (Month/Day/Year)								Line	Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
1. Title of Security (Instr. 3)				2. Trans Date	saction		2A. Deemed Execution Date if any (Month/Day/Yea		ite,	e, Transactio Code (Inst		4. Securities Ac Disposed Of (D)		es Acquired (A) or Df (D) (Instr. 3, 4 and		5. Amou Securitie Beneficia	nt of s ally collowing	Form (D) o	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
										Code	v	Amount	(A) (D)	or	Price	Transact (Instr. 3 a	ion(s)			(1150.4)
Ordinary Shares 06				06/16	5/2021					М		958 A		(1)	5,852			D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemec Execution E if any (Month/Day	Date, 1	I. Fransa Code (I 3)		of Deriv Secu Acqu (A) o Dispe of (D)	vative nities nired r osed) r. 3, 4	Exp	Date Exercisable and piration Date onth/Day/Year)			7. Title Amour Securi Underl Deriva (Instr. 3	it of ies ying ive Se		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date	e rcisable		piration te	Title	or Ni of	umber					
Restricted Stock Units	(1)	06/16/2021			М			958		(2)	09/	15/2021 ⁽²⁾	Ordina Share		958	\$0.0	959		D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. The restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September 15, 2020, so as to be 100% vested on September 15, 2021.

By: /s/ Michael Morehead,	
Attorney-in-Fact For: Teresa	06/17/2021
Meng	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.