# SEC Form 5

# FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

| OMB Number:              | 3235-0362 |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

| Filed Form 4 Transactions Reported.                                     | or Section 30(h) of the Investment Company Act of 1934                           |   |
|---|--|---|
| 1. Name and Address of Reporting Person <sup>*</sup><br>Day Christopher | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AMBARELLA INC</u> [ AMBA ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner<br>Officer (give title Other (specify  |
| (Last) (First) (Middle)<br>3101 JAY STREET                              | 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)<br>01/31/2016       | X Oncer (give nue Orner (specify below)<br>below) VP, Marketing   |
| (Street)<br>SANTA CLARA CA 95054  | 4. If Amendment, Date of Original Filed (Month/Day/Year) 03/07/2016              | <ul> <li>6. Individual or Joint/Group Filing (Check Applicable<br/>Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting<br/>Person</li> </ul> |
| (City) (State) (Zip)  |  |   |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr. | 4. Securities Acquired (A) or Disposed<br>Of (D) (Instr. 3, 4 and 5) |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end of |   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |            |
|---------------------------------|--|---|-----------------------------------|--|---------------|---|---|---|------------|
|                                 |  | (Monthinday) real)  | 6)                                | Amount   | (A) or<br>(D) | Price   | Issuer's Fiscal<br>Year (Instr. 3 and<br>4) |   | (Instr. 4) |
| Ordinary Shares                 | 09/23/2015                                 |   | G                                 | 30   | D             | \$0   | 12,367                                      | D   |            |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | of<br>Deriva<br>Secur<br>Acqui<br>(A) or<br>Dispo<br>of (D)<br>(Instr. | Derivative (Month/Day/Year)<br>Securities<br>Acquired |                     | ate                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |  | Derivative<br>Security | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|--|---|---------------------|--------------------|---|--|------------------------|--|---------------------------------------|
|   |   |  |   | (A)  | (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                        |  |                                       |

Explanation of Responses:

### /s/ Michael Morehead, Attorney in Fact For:

**Christopher Day** 

03/09/2016

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.