SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	Filed p

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

					0.000	tion 30(n) of th				ipany / ior c								
1. Name and Address of Reporting Person [*] Hon Hsiao-Wuen				2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
													C Directo	Director			ner	
(Last) 3101 JA	(F Y STREET	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/02/2021							Officer (give title Other (specify below)				pecify		
(Street)					4. If Amendment, Date of Original Filed (Month/Day/Year)						Line	,						
SANTA	CLARA C	Α	95054												led by Mo		orting Persor I One Report	
(City)	(5	State)	(Zip)															
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3) 2. Transa Date (Month/D			Execution Date,			e, Transaction Disposed Code (Instr. 5)			rities Acquired (A) o ed Of (D) (Instr. 3, 4			5. Amour Securitie Beneficia Owned F Reported	s Forn ally (D) o ollowing (I) (Ir		: Direct I Indirect E str. 4) 0	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount		(A) or (D)	Price	Transacti (Instr. 3 a	tion(s)			iii3u. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemec Execution E if any (Month/Day	Date, Tra	ansaction ode (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable a Expiration Date (Month/Day/Year)			le and	7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti (Instr. 4)	e s dly g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Amount or Number Expiration Date of Shares Date Code ٧ (A) (D) Exercisable Title Restricted Ordinary (1) 09/02/2021 (2) 09/15/2022⁽²⁾ 1,508 Stock Units A 1,508 \$<mark>0.0</mark> 1,508 D Shares

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. The restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September 15, 2021, so as to be 100% vested on September 15, 2022.

By: /s/ Michael Morehead,

Attorney-in-Fact For: Hsiao-09/07/2021Wuan Hon

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.