SEC Form 4
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>EICHLER KEVIN C</u>		uer Name <b>and</b> Ticke BARELLA II					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) 3101 JAY STREET		te of Earliest Transa 7/2020	ction (N	1onth/I	Day/Year)	X	X Officer (give title Other (specify below) below) CFO				
(Street) SANTA CLARA CA 95054 (City) (State) (Zip)	4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)						<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da		Execution Date,		action Instr.	4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V		Amount (A) or (D) Pri		Price	Transaction(s) (Instr. 3 and 4)			
Ordinary Shares	03/17/2020		М		7,437	A	(1)	28,024 <sup>(2)</sup>	D		
Ordinary Shares	03/17/2020		S <sup>(3)</sup>		3,244	D	\$38.988	24,780	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	03/17/2020		м			1,187	(4)	03/15/2022 <sup>(4)</sup>	Ordinary Shares	1,187	\$0.0	9,495	D	
Restricted Stock Units	(1)	03/17/2020		М			6,250	(5)	09/15/2022 <sup>(5)</sup>	Ordinary Shares	6,250	\$0.0	62,500	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. Includes 193 shares acquired under the Company's employee stock purchase plan on March 16, 2020.

Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

5. Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

4. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2019.

5. The RSUs vest as to 1/4 of the RSUs on September 15, 2019 and 1/16 of the RSUs will vest each three months thereafter such that the RSUs are 100% vested on September 15, 2022.

By: /s/ Michael Morehead,

Attorney-in-Fact For: Kevin C. 03/19/2020 Eichler

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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