SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

Estimated average burden

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	nd Address of	2.	2. Issuer Name and Ticker or Trading Suppl										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)									
Lee Chan W						AMBARELLA INC [AMBA]										Directo	r		10% Ov	-		
(Last)		3. Date of Earliest Transaction (Month/Day/Year)										C Officer below)	(give title		Other (s below)	specify						
16275 C	09	09/16/2021										Cl	nief Oper	ating	Officer							
				4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable								
(Street)							4. In Amendment, Date of Original Filed (Month/Day/Year)										Line)					
LOS GATOS CA 95032																X Form filed by One Reporting Person Form filed by More than One Reporting						
(City)	(City) (State) (Zip)												Person		e man	гопе керо	ung					
(City)	(5																					
L			ole I - Nor						· ·		Disp											
Date					sactior /Day/Y		2A. Deemed Execution Date if any (Month/Day/Yea		Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			Securitie Beneficia Owned F			: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership				
										Code	v	Amount	(A) (D)	or	Price	Reported Transacti (Instr. 3 a	on(s)			(Instr. 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																					
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution urity or Exercise (Month/Day/Year) if any			Date, Tran Code		action (Instr.	of		6. Date Exercisab Expiration Date (Month/Day/Year)				7. Title and Amount of Securities Underlying Derivative Secur (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactin (Instr. 4)	s lly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exer	e rcisable	Ex Da	piration te	Title	or Ni of	umber							
Restricted Stock Units	(1)	09/16/2021			М			2,473		(2)	03/	/15/2022 ⁽²⁾	Ordina Share		2,473	\$0.0	4,945		D			
Restricted Stock Units	(1)	09/16/2021			м			2,238		(3)	03/	(15/2023 ⁽³⁾	Ordina Share		2,238	\$0.0	13,425	5	D			

Explanation of Responses:

(1)

Restricted

Stock Units

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2019.

09/16/2021

3. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2020.

4. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2021.

By: /s/ Michael Morehead,

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Ordinar

Shares

03/15/2024⁽⁴⁾

Attorney-in-Fact For: Chan W. 09/17/2021 Lee

\$<mark>0.0</mark>

7,338

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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