SEC Form 4

Instruction 1(b).

П

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

l	OMB Number:	3235-0287						
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l	hours per response:	0.5						

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					1	1011 30(11) 01 11	-			1	-		_					
1. Name and Address of Reporting Person* RICHARDSON DAVID JEFFREY					2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				Jer	
<u>KICH</u>	<u>INDSON</u>	DAVID JEFI	FRE I											X Directo	r		10% Ov	/ner
(Last) 3101 JA	(F Y STREET	irst)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 06/16/2021									Officer below)	(give title		Other (s below)	pecify
					4 If Am	endment, Dat	e of (Original	Filed	(Month/Da	av/Ye	ear)	6 In	dividual or J	oint/Grour	Filina	(Check An	licable
(Street)						enument, Dut		onginai	i neu i	(montal/De	<i>y</i> /10	, ai j	Line		onnooroup	, i iiiig	(One on the	licable
1 · · ·	CLARA C	A	95054		I									K Form fi	led by One	e Repo	rting Perso	ı
	0211101 0															re than	One Repor	ting
	(5	tata)	(Zip)		I									Person				
(City)	(5	itate)	(Zip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) 2. Transa Date (Month/D					action 2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 5)						5. Amou Securitie Beneficia Owned F Reported	s ally ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount (A) or (D)		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				iiisti. 4)
Ordinary Shares 06/16					/2021			М		958		A	(1)	13,	636		D	
		-				urities Ac ls, warran								Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date, Ti C	ransaction ode (Instr.		Exp	Pate Exe piration I onth/Day	Date	le and	An Se Un De	Title and nount of curities iderlying rivative S str. 3 and		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

				(Inst and !		. 3, 4						(Instr. 4)		
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	06/16/2021	М			958	(2)	09/15/2021 ⁽²⁾	Ordinary Shares	958	\$0.0	959	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. The restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September 15, 2020, so as to be 100% vested on September 15, 2021.

By: /s/ Michael Morehead,	
Attorney-in-Fact For: David	06/17/2021
Jeffrey Richardson	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.