SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

\Box	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
	INSTRUCTION T(D).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Estimated average burden	
hours per response:	0.5
L	

1. Name and Address of Reporting Person* <u>RICHARDSON DAVID JEFFREY</u>			2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]	5. Relat (Check X	l(s) to Issuer 10% Owner			
(Last) 3101 JAY STREE	(First) ET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/16/2016		Officer (give title below)	Other (specify below)		
(Street) SANTA CLARA CA 95054 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line) X	dual or Joint/Group Filing (C Form filed by One Reporti Form filed by More than O Person	ng Person		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Ordinary Shares	12/16/2016		М		1,143	A	(1)	10,545	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security 6. Date Exercisable and 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Derivative Security Conversion Execution Date, Expiration Date of Indirect Transaction Amount of derivative Ownership (Month/Day/Year) Derivative if any (Month/Day/Year) Securities or Exercise Code (Instr. Securities Form: Beneficial Securities Acquired (A) or Disposed of (D) (Instr. 3) Price of Derivative (Month/Day/Year) 8) Underlying Derivative Security (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) Owned or Indirect Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) Transaction(s) (Instr. 3, 4 and 5) (Instr. 4) Amount or Number Date Expiration of Shares Exercisable Title Code ν (A) (D) Date Restricted Ordinary Stock (1) 12/16/2016 Μ (2) 09/15/2017⁽²⁾ 726 \$<mark>0.0</mark> 2,178 D 726 Shares Units Restricted Ordinary (1) 12/16/2016 417 (3) 03/09/2024⁽³⁾ 417 \$<mark>0.0</mark> 2,084 D Μ Stock Shares Units

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. The restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September 15, 2016, so as to be 100% vested on September 15, 2017.

3. The restricted stock units vest at the rate of 1/16 per guarter beginning on March 15, 2014.

By: /s/ Michael Morehead,

<u>Attorney in Fact For: David</u> Jeffrey Richardson 12/16/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.