SEC Form 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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obligations may continue. See	no longer subject to	Check this box Section 16. Fo
Instruction 1(b)		

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>EICHLER KEVIN C</u>						2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]								neck all applie Directo	r		10% Ov	vner
(Last) 3101 JA	(F Y STREET	irst)	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 06/16/2021									Officer (give title below)		Other (speci below)	
(Street) SANTA CLARA CA 95054					- 4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(S	tate)	(Zip)											Persor	1			
		Tal	ole I - No	n-Deri	vativ	/e Se	ecuri	ties A	cquired	l, Dis	sposed of	f, or Ber	neficia	lly Owned				
1. Title of Security (Instr. 3) 2. Transa Date (Month/D					ear)	Execut if any	Deemed ecution Date, any onth/Day/Year)		, Transaction Disposed (Code (Instr.		ies Acquired (A) o Of (D) (Instr. 3, 4 a		Benefici Owned F	es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	Amount (A) or (D) Price		Transact	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)
Ordinary Shares 06/16/					6/202	2021			М		9,143	Α	(1)	58,046			D	
Ordinary Shares 0				06/16	6/2021				S		4,671 ⁽²⁾	4,671 ⁽²⁾ D		39 53	53,375		D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Da	Date,	4. Transaction Code (Instr 8)				6. Date Exerci Expiration Dat (Month/Day/Ye		•	7. Title an Amount o Securities Underlyin Derivative (Instr. 3 a	f 5 g 9 Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s dly g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amount or Number of Shares					
Restricted Stock Units	(1)	06/16/2021			М			1,187	(3)	C)3/15/2022 ⁽³⁾	Ordinary Shares	1,187	\$0.0	3,561	1	D	
Restricted Stock Units	(1)	06/16/2021			М			6,250	(4)	C	09/15/2022 ⁽⁴⁾	Ordinary Shares	6,250	\$0.0	31,25	0	D	
Restricted Stock Units	(1)	06/16/2021			М			1,193	(5)	C)3/15/2023 ⁽⁵⁾	Ordinary Shares	1,193	\$0.0	8,354	4	D	

Explanation of Responses:

(1)

Restricted

Stock Units

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

06/16/2021

3. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2019.

4. The RSUs vest as to 1/4 of the RSUs on September 15, 2019 and 1/16 of the RSUs will vest each three months thereafter such that the RSUs are 100% vested on September 15, 2022.

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5. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2020.

6. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2021.

By: /s/ Michael Morehead,

513

\$0.0

5,650

D

Ordinary

Shares

03/15/2024⁽⁶⁾

(6)

<u>Attorney-in-Fact For: Kevin C</u> 06/17/2021 <u>Eichler</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.