SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average burden	
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person' 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) AMBARELLA INC [AMBA] **RICHARDSON DAVID JEFFREY** Х Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (First) (Middle) 12/16/2022 **3101 JAY STREET** 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) X Form filed by One Reporting Person 95054 SANTA CLARA CA Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2A. Deemed Execution Date 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of 7. Nature of Transaction Indirect Beneficial Date (Month/Day/Year) Securities Beneficially Owned Following if any Code (Instr. 5) (D) or Indirect (Month/Day/Year) 8) (I) (Instr. 4) Ownership (Instr. 4) Reported Transaction(s) (A) or (D) Price v Code Amount (Instr. 3 and 4) Ordinary Shares 12/16/2022 779 (1)16,882 D Μ A Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 9. Number of 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 11. Nature of Indirect 3. Transaction 5. Numbe 10 Conversion Date Transaction derivative Ownership (Month/Day/Year) if any (Month/Day/Year) Security (Instr. 3) or Exercise Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form: Beneficial Direct (D) or Indirect (I) (Instr. 4) Price of Derivative Securities Acquired Underlying Derivative Security 8) Beneficially Ownership Owned (Instr. 4) Security (A) or Disposed (Instr. 3 and 4) Following Reported of (D) Transaction(s) (Instr. 4) (Instr. 3, 4 and 5) Amount Numbe Date Expiration Title (A) (D) Exercisable Shares

Explanation of Responses:

(1)

Restricted

Stock

Units

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. The restricted stock units will vest as to 1/4th of the restricted stock units each three months following the vesting start date of September 15, 2022, so as to be 100% vested on September 15, 2023.

Date

09/15/2023(2)

By: /s/ Michael Morehead, 12/20/2022 Attorney-in-Fact For: David Jeffrey Richardson Date

\$<mark>0 0</mark>

2 3 3 8

D

779

** Signature of Reporting Person

Ordinary

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

12/16/2022

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

м

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.