SEC Form 4

Π

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden	
hours per response:	0.5

1. Name and Address Day Christoph		*	2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]	(Check	ionship of Reporting Person all applicable) Director Officer (give title	on(s) to Issuer 10% Owner Other (specify				
(Last) 3101 JAY STREE	(First) (Middle) ET		3. Date of Earliest Transaction (Month/Day/Year) 06/18/2018	Х	below) VP, Marketin	below)				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
SANTA CLARA	CA	95054		X	Form filed by One Report	ing Person				
(City)	(State)	(Zip)			Form filed by More than C Person	Dne Reporting				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Code V Amount (A) or Price Transaction(s		Transaction(s) (Instr. 3 and 4)		(1130.4)			
Ordinary Shares	06/18/2018		М	1,839	Α	(1)	24,041	D	
Ordinary Shares	06/18/2018		S ⁽²⁾	1,023	D	\$43.27	23,018	D	
Ordinary Shares	06/19/2018		S	2,277	D	\$44	20,741	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	06/18/2018		м			877	(3)	09/15/2019 ⁽³⁾	Ordinary Shares	877	\$0.0	4,385	D	
Restricted Stock Units	(1)	06/18/2018		м			962	(4)	09/07/2024 ⁽⁴⁾	Ordinary Shares	962	\$0.0	963	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. Shares sold to pay tax obligations resulting from the vesting of restricted stock awards and restricted stock units.

3. The RSUs vest as to 1/10 of the RSUs on June 15, 2017 and 1/10 of the RSUs will vest each three months thereafter such that the RSUs are 100% vested on September 15, 2019.

4. The restricted stock units vest at the rate of 1/16 per quarter commencing on September 15, 2014.

By: /s/ Michael Morehead, Attorney in Fact For: Christopher Day

06/20/2018

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.