SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ddress of Reporting CHRISTOPH		2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]		ationship of Reporting Person(s) to Issuer (all applicable) Director 10% Owner				
(Last) 3101 JAY S	(First) TREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/09/2021		Officer (give title below)	Other (specify below)			
(Street) SANTA CLARA CA 95054 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X	ividual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Ordinary Shares	03/09/2021		М		750	Α	\$38.92	28,384	D	
Ordinary Shares	03/09/2021		М		750	Α	\$16.35	29,134	D	
Ordinary Shares	03/09/2021		S ⁽¹⁾		1,500	D	\$109.7	27,634	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$16.35	03/09/2021		М			750	(2)	06/05/2023	Ordinary Shares	750	\$0.0	1,472	D	
Non- Qualified Stock Option (right to buy)	\$38.92	03/09/2021		М			750	(3)	09/07/2024	Ordinary Shares	750	\$0.0	4,805	D	

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan of the Paisley Living Trust adopted on June 22, 2020.

2. Shares subject to the option vest monthly over one year commencing on June 6, 2013.

3. The shares subject to the option vest at a rate of 1/12 per month following the vesting start date of September 8, 2014.

By: /s/ Michael Morehead,	
Attorney-in-Fact For:	
Christopher Paisley	
** Signature of Reporting Person	

03/11/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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