SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

L										
	OMB Number:	3235-0287								
	Estimated average burden									
	hours per response:	0.5								

	n 16. Form 4 or Form 5 1s may continue. <i>See</i> n 1(b).		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940				
1. Name and <u>Kohn Le</u>	Address of Reporting	g Person [*]		2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]				
(Last) 3101 JAY	(First) STREET	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/03/2021				

			or Section So(n) of the investment Company Act of 1940							
1. Name and Address of Reporting Person* $\underline{Kohn \ Leslie}$			2. Issuer Name and Ticker or Trading Symbol AMBARELLA INC [AMBA]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
				X	Director	10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	below) below)	Other (specify below)				
3101 JAY STR	REET	12/02/2021			Chief Technolog	Chief Technology Officer				
(Street) SANTA			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	vidual or Joint/Group Fili	ng (Check Applicable				
CLARA	CA	95054		X	 Form filed by One Reporting Person Form filed by More than One Reportin Person 					
(City)	(State)	(Zip)			F 613011					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

L. Title of Security (Instr. 3) Date (Month/Day		if any '	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Ordinary Shares	12/03/2021		М		6,619	Α	(1)	873,526	D	
Ordinary Shares	12/03/2021		S		3,414 ⁽²⁾	D	\$192.69	870,112	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

By: /s/ Michael Morehead,

Attorney-in-Fact For: Leslie <u>Kohn</u>

12/07/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.