SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Estimated average burden	
hours per response:	0.5

1. Name and Address of Reporting Person				er Name and Tio BARELLA				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
<u>Chen Yun-Lu</u>	<u>1g</u>		1					Director	10% C	Owner					
	/ 		3. Date	of Earliest Trar	saction (Mon	n/Dav/Year)	x	Officer (give title below)	Other below	(specify					
(Last) 3101 JAY STRE	(First) ET	(Middle)	06/07/		, in the second s			VP, Business	s Developmen	t					
(Street)			4. If Arr	nendment, Date	of Original Fil	ed (Month/Day/Year)	6. Indiv Line)	ridual or Joint/Group	Filing (Check A	pplicable					
SANTA CLARA	CA	95054	1				X	Form filed by One	e Reporting Pers	on					
	(State)	(7:n)						Form filed by Mor Person	e than One Rep	orting					
(City)	(State)	(Zip)													
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
1 Title of Coourity (la eta 2)	2 Tranca	otion	24 Deemed	2	4 Securities Acquir	ad (A) an	E Amount of	6 Ownership	7 Noturo					

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	nstr. 5)		Price	Transaction(s) (Instr. 3 and 4)		(1150.4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	06/07/2018		A		15,340		(2)	06/15/2021	Ordinary Shares	15,340	\$0.0	15,340	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. The restricted stock units vest at the rate of 1/12 per quarter commencing on June 15, 2018.

<u>By: /s/ Michael Morehead,</u> <u>Attorney in Fact For: Yun-</u>

06/11/2018

Date

Lung Chen ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.