SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-02								
Estimated average burden								
hours per response:	0.5							

Instruction :	1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	934		-
	`` /		or Section 30(h) of the Investment Company Act of 1940		·	
1. Name and A Kohn Les	ddress of Reportin <u>lie</u>	g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [AMBA]	(Check	ationship of Reporting < all applicable) Director Officer (give title	Person(s) to Issuer 10% Owner Other (specify
(Last) 3101 JAY STR	(First) TREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/02/2020		below) Chief Technol	below)
(Street) SANTA CLARA	CA	95054	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	Form filed by One F Form filed by More	Filing (Check Applicable Reporting Person than One Reporting
(City)	(State)	(Zip)			Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150.4)	(1150.4)
Ordinary Shares	12/02/2020		S ⁽¹⁾		4,690	D	\$84.8621(2)	896,815	D	
Ordinary Shares	12/02/2020		S ⁽¹⁾		7,500	D	\$85.498 ⁽³⁾	889,315	D	
Ordinary Shares	12/02/2020		S ⁽¹⁾		34	D	\$86.46	889,281	D	
Ordinary Shares	12/04/2020		S ⁽¹⁾		10,000	D	\$92.15	879,281	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of 6. Date Exercisable and Expiration Date (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on March 19, 2020.

The sale price reported in column 4 of Table I represents the weighted average sale price of the shares sold ranging from \$84.3500 to \$85.3350 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within the ranges set forth in this Form 4.
 The sale price reported in column 4 of Table I represents the weighted average sale price of the shares sold ranging from \$85.3500 to \$85.9900 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the reporting person will provide full information regarding the number of shares sold at each separate price within the ranges set forth in this Form 4.

<u>By: /s/ Michael Morehead,</u> <u>Attorney-in-Fact For: Leslie</u>

Kohn

12/04/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.