## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

3235-0287 OMB Number: Estimated average burden hours per response 0.5

1. Name and Address <u>EICHLER KE</u>	1 0	*	2. Issuer Name and Ticker or Trading Symbol <u>AMBARELLA INC</u> [ AMBA ]	(Check	tionship of Reporting all applicable) Director Officer (give title	g Person(s) to Issuer 10% Owner Other (specify
(Last) 3101 JAY STREE	(First) ET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/17/2019	X	below)	below) CFO
(Street) SANTA CLARA (City)	CA (State)	95054 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	Form filed by One	) Filing (Check Applicable e Reporting Person re than One Reporting

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Ordinary Shares	09/17/2019		М		26,187	Α	(1)	27,558 <sup>(2)</sup>	D	
Ordinary Shares	09/17/2019		<b>S</b> <sup>(3)</sup>		10,970	D	\$64.1424	16,588	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			T							-					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	09/17/2019		М			1,187	(4)	03/15/2022 <sup>(4)</sup>	Ordinary Shares	1,187	\$0.0	11,869	D	
Restricted Stock Units	(1)	09/17/2019		М			25,000	(5)	09/15/2022 <sup>(5)</sup>	Ordinary Shares	25,000	\$0.0	75,000	D	

#### **Explanation of Responses:**

1. Each restricted stock unit represents a contingent right to receive one share of Ambarella, Inc. Ordinary Shares.

2. Includes 271 shares acquired under the Company's employee stock purchase plan on September 16, 2019.

3. Shares sold to pay tax obligations resulting from the vesting of restricted stock awards and restricted stock units.

4. The restricted stock units vest at the rate of 1/12 per quarter commencing on March 15, 2019.

5. The RSUs vest as to 1/4 of the RSUs on September 15, 2019 and 1/16 of the RSUs will vest each three months thereafter such that the RSUs are 100% vested on September 15, 2022.

By: /s/ Michael Morehead,	
Attorney-in-Fact For: Eichler	<u>09/18/2019</u>
C. Kevin	
tt Signature of Departing Dercon	Data

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL